



# A Survey of Geometric Analysis in Cultural Heritage

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## Abstract

We present a review of recent techniques for performing geometric analysis in cultural heritage (CH) applications. The survey is aimed at researchers in the areas of computer graphics, computer vision and CH computing, as well as to scholars and practitioners in the CH field. The problems considered include shape perception enhancement, restoration and preservation support, monitoring over time, object interpretation and collection analysis. All of these problems typically rely on an understanding of the structure of the shapes in question at both a local and global level. In this survey, we discuss the different problem forms and review the main solution methods, aided by classification criteria based on the geometric scale at which the analysis is performed and the cardinality of the relationships among object parts exploited during the analysis. We finalize the report by discussing open problems and future perspectives.

**Keywords:** digital geometry processing, modelling, object scanning/acquisition, cultural heritage (CH) analysis, geometric analysis, shape perception, CH restoration, CH preservation, CH monitoring, CH interpretation, CH collection analysis

**ACM CCS:** Computing Methodologies, Computer Graphics, Shape modeling, Shape analysis

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## 1. Introduction

Computing in cultural heritage (CH) has become a well-known and broad research field. Databases and text data mining for heritage applications have been lively and productive areas of computing. Among those topics, the use of computer graphics techniques in CH has been an active area of research for over the past 20 years. Advances have been made from several points of view, so that the use of graphics in CH has now grown too large to survey as a whole. While there are many ways its contributions can be categorized, one broad scheme is to consider three classes—acquisition, communication and analysis.

Many research projects have focused on the acquisition of 3D models with CH as a motivating application, going back to the 1980s [BRTL88]. Numerous surveys have been produced for the acquisition [REH06]. CH has some special requirements, such as limitations on touching or temporarily modifying the surface (such as by spraying with white powder), in addition to frequent financial

constraints. Novel acquisition methods for CH have progressed substantially, and have proved useful for acquisition for other purposes.

Many projects have also focused on the use of graphics for illustration and public communication in CH, with surveys of these efforts already appearing more than 10 years ago [Add00]. These projects draw on and contribute to techniques in multi-media, human interaction and web technology. Topics such as augmented reality, mobile and gaming technologies continue being explored for presentation and public engagement.

In this review, rather than considering acquisition and communication, we survey the analytical techniques that are facilitated by computer graphics after the relevant models have been acquired. In particular, we focus our attention on the vast field of analytical techniques applied to geometry that have been developed for heritage applications. These techniques are directed at experts in archaeology, history and/or conservation, rather than for communication with or engagement of the general public. Analysis is performed to

answer typical questions in CH, such as ‘how was this made?’, ‘why was this object made this way?’, ‘who made this?’, ‘how has this changed through time?’ and ‘how can this object best be protected from damage?’.

We hope that this comparative survey will help readers navigate through the constantly expanding literature on geometric analysis in CH. The target audience of our report includes computer graphics, computer vision and CH computing researchers, as well as scholars and practitioners in the CH field. Researchers will find a structured overview of the field that organizes the various methods and their applications to CH in a taxonomy and indicates challenging open problems. Domain experts will, in turn, find a presentation of the areas where geometric analysis is helping, or has the potential to improve scholarly research in CH.

This work is an invited extended version of our *Graphics and Cultural Heritage 2014* contribution [PPY\*14], that presented a survey of methods by considering a classification with respect to the geometric scale at which the analysis is performed, the number of objects involved and the cardinality of the relationship between them, and the *CH application* to which the analysis is applied. In addition to supplying a more thorough exposition, in this work we also provide significant new material, including additional references and examples, a stronger and sharper definition of our classification, especially with respect to geometric scale, a review of the multi-scale works that use a weighted combination of two or more levels of analysis (Section 7), and an improved discussion on the distribution and clustering of papers in the literature in order to better highlight possible directions for future works.

The rest of this survey is organized as follows. In Section 2, we provide pointers to other closely related surveys on computer-based techniques applied to CH. Section 3 introduces our taxonomy of geometric analysis in CH and provides a global overview of the literature. The main part of the survey then examines the various approaches that work predominately at a micro- (Section 4), meso- (Section 5) and macro- (Section 6) geometric scale. We then discuss hybrid methods (Section 7) that use multiple geometric scales together for their analysis. We conclude the survey with an analysis of the distribution of the various approaches depending on the *CH applications* and with thoughts on future challenges in the field (Section 8).

## 2. Related Surveys

The area of geometry analysis is vast and has many applications. We focus specifically on the field of CH, discussing the techniques that have found practical applications in this field. We refer the reader to more general works (e.g. [SS01, MPWC13, MWZ\*14]) for domain-independent surveys of the subject.

A number of surveys have been presented that relate to the general subject of computer-based techniques applied to CH. We draw on these surveys, which in some cases treat subsets of what can be considered as analysis, and in some cases treat supersets of issues that include analysis.

Owen *et al.* [OBP04] present a systematic study to identify the technologies used in the CH field from papers presented at VAST 2003, adopting an information systems theory approach that

classifies procedures into three activities: input, process or output. They also outline the trends in technologies used within CH.

Happa *et al.* [HMD\*10] review the current state of illuminating CH sites and objects using computer graphics. While the book edited by Stanco *et al.* [SBG11] covers digital imaging-driven CH preservation, Ask [Ask12] investigates and discusses possible methods of 3D documentation methodology and interpreting CH data through computer vision techniques. More broadly, Stork [Sto09] briefly summarizes how the computer vision, image analysis and computer graphics algorithms have been used to process and understand digital images of scanned art, particularly paintings and drawings.

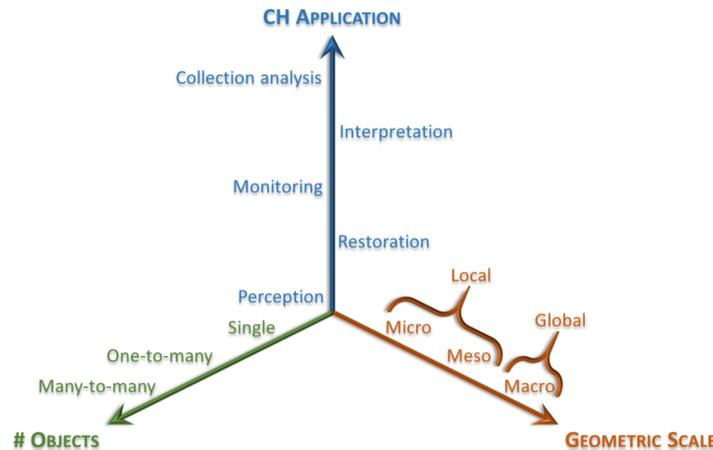
Rather than giving a comprehensive survey of all recent computer graphics techniques used for CH, Scopigno *et al.* [SCC\*11] present some examples to show the significant impact of digital 3D models on the daily work of CH scholars, curators and restorers, and highlight some important applications of analysis in CH, including reassembly, attribution and monitoring.

Although rather recent surveys exist on shape analysis [MWZ\*14], 3D object repair [GSP\*14], geometrical descriptors [HPPLG11] and shape matching [LI14] and retrieval [SPS14b], none of them thoroughly treats the field of geometrical analysis applied to CH items. Here, we discuss the different problem forms arising in geometric analysis for CH and review the main solution methods, aided by classification criteria based on the geometric scale at which the analysis is performed and the cardinality of the relationships among object parts exploited during the analysis.

## 3. Overview

The field of geometric analysis in CH is very large, and covers all applications in which the shape of cultural objects, parts of them, or collections of them, carries valuable qualitative and quantitative information that is used as a basis for analysis tasks. We provide a structured view of this large field by introducing a taxonomy of methods by considering three axes of classification, that is, *Geometric Scale* at which the analysis is performed, number of objects (# *Objects*) involved in the analysis and the relationship between them and the *CH application* that employs the analysis to answer specific questions (Figure 1).

The *Geometric Scale* classification consists in two main branches: local and global methods. Local methods focus their analysis on portions of a cultural object, while global methods consider one or more input objects as a whole. In our taxonomy, we further subdivide local methods into micro- and meso-scale analysis. We consider that a method works at a micro-scale (Section 4) if the analysis predominantly focuses on very small portions of objects (e.g. simple local geometric features or shape descriptors), while it works at a meso-scale (Section 5, if it takes into consideration larger portions of the surface of the objects, with a well-defined and recognizable geometric shape, e.g. surface regions that participate in local flattening operations). For consistency, global methods are referred to as macro-scale analysis (Section 6). Note that, compared to the definition in other fields of computer graphics such as appearance modelling, our notion of micro versus meso structure slightly differs in scale; in our taxonomy, the scale transition happens at about one order of magnitude larger scale. Moreover, hybrid



**Figure 1: Taxonomy.** We define our taxonomy based on three classification axes: Geometric Scale at which the analysis is performed, # Objects involved and CH application.

analyses also exist, that employ operators and descriptors in a multi-scale framework. In order to classify these approaches according to the proposed taxonomy, we consider their predominant scale of analysis, before reviewing in a dedicated section (Section 7) the most relevant multi-scale algorithms, linking them to the other geometric scale classes. In addition, in order to clarify and strengthen the scale definition, in each corresponding section we will explain the nature of the scale in terms of *What is?*, and we provide some relevant examples.

For each class, we explain the nature of the 3D data used, and we classify the methods according to the number of objects (# Objects) that participate to the analysis. In particular, we group methods in three classes: methods that study a single object, methods that compare one object in relation with a set of similar samples and methods that perform a many-to-many comparison. It should be noted how these classes, in this order, show an increasing level of analysis capabilities. Of course, each higher class includes the capabilities of the previous ones, for example, it is clear how the *many-to-many* algorithms can perform also *one-to-many* analysis. It is not true the contrary.

Finally, we classify methods according to the *CH application* in which the analysis is typically performed. We have identified the following classes: *perception enhancement*, *restoration and preservation*, *monitoring*, *interpretation* and *collection analysis*. In Table 1 we summarize the distribution of the works in the literature related to our proposed taxonomy.

#### 4. Micro-Geometry

In this section, we organize the literature related to the techniques that exploit small geometric features to perform analysis in the CH field.

*What is?* With the term *micro-geometry*, we refer to the smallest possible portions of the surface, that is, single primitive. These methods use operators that, whatever the size of the analysis kernel involved, process, extract or classify local geometric features

as primitive attributes. In this sense, the analysis is completely independent of the object nature and type, in terms of morphology, topology or CH classification. *Micro-geometry* analysis neither describes groups of primitives or large sub-regions of an item, nor does it perform computation on an object as a whole. Some simple examples of object independent operations on a 3D geometry are, for instance, the computation and processing of normals, primitive radiance or colour estimation or vertex displacement operators. Those are defined *a priori* and could be applied to any kind of 3D geometry, whatever the CH sample is that it represents.

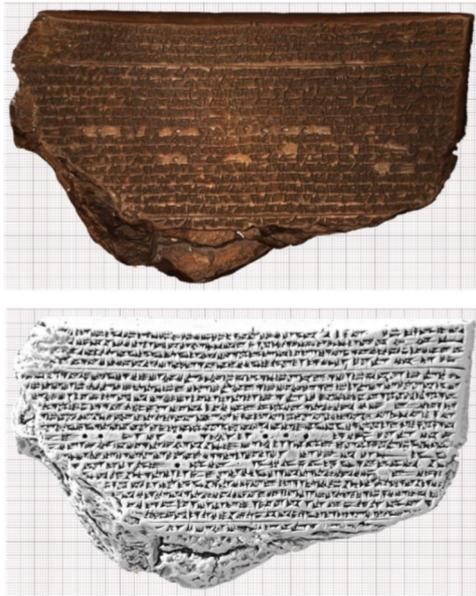
Finally, these innovative methodologies have been designed, implemented and assessed to follow the specific needs of real CH problems, and they are possible due to the accuracy of the 3D scanned models acquired nowadays. In the last decade, close-range 3D scanners based on laser triangulation or structured light have been developed through intensive research, both academic and industrial, and they are able to produce very dense models with up to a sub-millimetre resolution.

#### 4.1. Single object analysis

##### 4.1.1. Perception enhancement

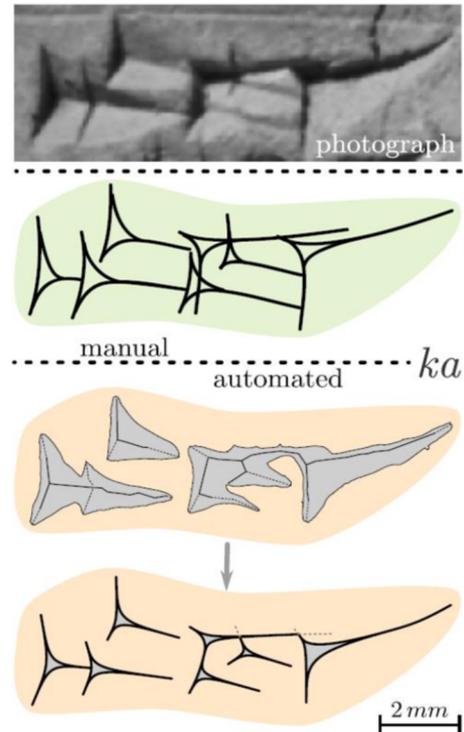
Geometric operators at fine scale are typically applied to high-resolution 3D models, to analyse and extract small structures and to enhance the details, such as impressed characters or other high-frequency signals that are difficult to perceive. These approaches typically use differential geometry coupled with multi-scale frameworks. Mara *et al.* [MKJB10, MK13] propose a transformation invariant feature vector, that is obtained by calculating the volumes of multiple concentric spheres intersecting the volume below the 3D model's surface at each point. They increase the readability of 3D scanned cuneiform tablets (Figure 2), and use this signal to produce artificial illustrations of the writing (Figure 3). Kolomenkin *et al.* [KST11] define a new direction field on surfaces (i.e. *prominent field*) that is oriented with respect to the prominent feature curves of the surface; they use this operator both for surface enhancement of



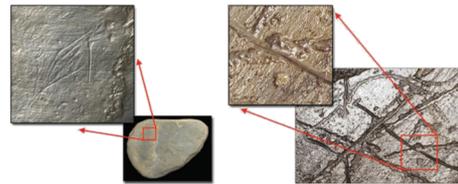


**Figure 2:** Increasing the readability of 3D scanned cuneiform tablets. 3D model of Inv. No. W51617 of the Assyriologie Heidelberg collection. Top: The acquired texture mapped sample. Bottom: the gray-scale coloured model after applying the perception enhancement technique. Courtesy of Mara et al. [MKJB10] (Copyright Eurographics Association).

archaeological artefacts, and for artificial colouring that can replace manual artefact illustration in archaeological reports. In another paper, Kolomenkin *et al.* [KST08] propose a new class of view-independent curves (demarcating curves) to increase readability of shapes and details in the visualization and rendering of 3D digital artefacts. These curves represent the regions of strongest inflection of the surface geometry, and are used to produce artificial illustration and increased quality, meaningful illumination, by coupling them with mean-curvature or exaggerated shading. Similarly, Gilboa *et al.* [GTSK13] use *micro-scale* feature computation to translate 3D scanned models of artefacts into different kind of representations, such as 3D or 2D line drawings, and coloured images that highlight primitive saliency and enhance detail perception. Their aim is to use new algorithms specifically designed to replace traditional, manual illustrations, which are very expensive and prone to human errors. This work tries to address issues and improve methodologies in the ever increasing complexity and innovation of modern research in archaeology. Levoy *et al.* [LPC\*00] use accessibility shading [Mil94] to visually enhance the appearance of chisel marks in 3D reconstructions of sculptures by Michelangelo. Polynomial texture map (PTM) [MGW01] and photometric stereo (PS) [Woo79] are other techniques that use the per-vertex computation of surface normals to increase the perception of fine geometric details in CH visualization and analysis. Hameeuw and Willems [HW11] apply PTM to increase visualization of cuneiform tablets and sealings. For instance, the acquisition and characterization of coin surfaces [GWS\*09, MVSL05] overcome visual limitations of traditional documentary techniques and provides an improved tool for numismatic understanding and coin morphology analysis. The incomplete nature of



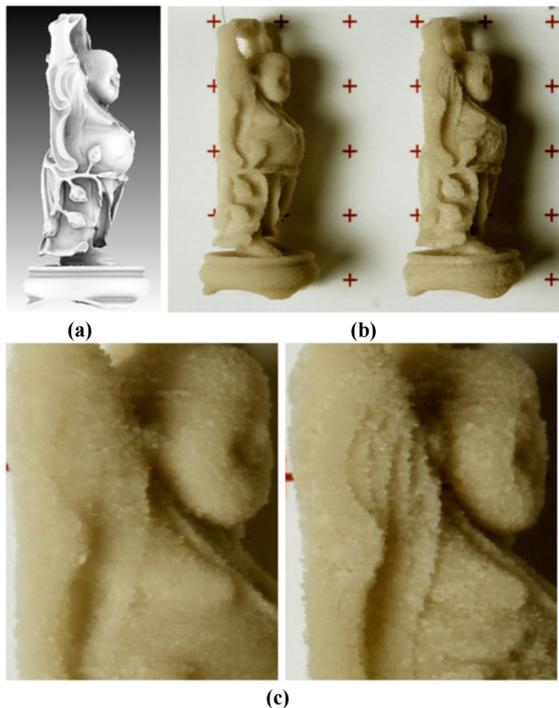
**Figure 3:** Artificial illustration. The comparison between manual drawing of the character *ka* and the vector artificial illustration computed from the 3D-model. Courtesy of Mara et al., © [2013] IEEE. Reprinted, with permission, from [MK13].



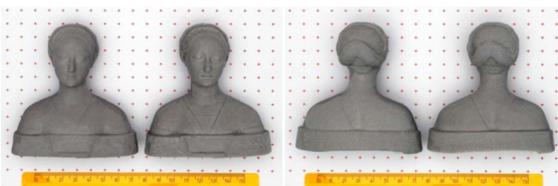
**Figure 4:** PTM-based perception enhancement: rock art. Improvement of the readability of petroglyphs by applying specular enhancement to the normal field computed from RTI data. Courtesy of Mudge *et al.* [MMSL06] (Copyright Eurographics Association).

rock art drawing and photography data make them difficult to read. Instead, PTM proved to be an efficient way to enhance the perception of petroglyphs. Mudge *et al.* [MMSL06] (Figure 4) show how the use of PTM on Paleolithic rock art allows scholars to better discern the most difficult to see surface attributes and fine details.

The enhancement of small detail perception is also very important in rapid prototyping. Pintus *et al.* [PGCS10] developed a geometry enhancement operator that counterbalances the effects due to the non-ideal behaviour of the materials used in the printing process (Figure 5). They represent the geometry as a volume, and they simulate on this volume the physical behaviour of the printer. Then they compare the result to the original geometry, and modify the input data in order to reduce the difference between the original



**Figure 5:** Shape enhancement. A 3D model of Buddha statuette [Aim03]: (a) the original model lit with ambient occlusion; (b) the printed replicas of the original and geometrically enhanced models; (c) is the comparison between a small part of the original and enhanced model. In the latter, more details are better perceivable and preserved [PGCS10] (With kind permission from Springer Science and Business Media).



**Figure 6:** Shape perception enhancement through colour modification. The colour-enhancing technique in action on the Laurana model [Aim03]. Represented objects are less than 10 cm tall (crosshairs are 1 cm spaced). In each pair of images, the left model is a standard uniform colour reproduction, while on the right the same 3D model has been printed with enhanced color: many small geometric details whose shading is cancelled by the sub-surface scattering effect are again visible in the model on the right [CGPS08] (Copyright Eurographics Association).

model and the printed one. Their function aims at locally increasing the contribution of geometrical high frequencies. A similar approach was presented by Cignoni *et al.* [CGPS08]. Instead of modifying the geometry, they exploit the colour painting capabilities of recent 3D printers, and propose a technique to colour the surface of an object in order to enhance the perception of its geometric details (Figure 6);

they analyse the fine scale geometry to compute a counter shading signal, which is ‘baked’ in the colour attribute of each vertex before the 3D print. This approach overcomes the drawbacks of sub-surface scattering effects of the printing material, which usually blurs out the perception of the shades of the smallest details. Three-dimensional printing technology can also be used in a straightforward manner to produce replica at higher scales, or in preservation applications, such as transporting or storing CH artefacts (see Section 6.1).

#### 4.1.2. Monitoring

A general problem in CH is the measurement of preservation strategies by monitoring the micro-geometric changes over time. Performing repeated 3D acquisitions on a time schedule is useful for tracking fine deformations and degradation of artwork. Brunetti *et al.* [BCP\*07] performed a periodic 3D scanning campaign, and showed how to measure, compare and monitor wood deformations caused by weather changes in the trees of the fossilized Dunarobba forest. They study different conservation methodologies, such as covering individual trunks with artificial roofing, maintenance of some trunks inside a climatic chamber or surface coating of trunks by an impregnating resin product. For each area of interest they performed four 3D acquisitions with an interval of 3 months. They measure the modification of the area in terms of Hausdorff distance between each pair of scans, and propose the choice of the best conservation methodology with a measurable evidence. The computation of normals by the PTM technique and the RTI acquisition setup has been used to detect morphological changes over time in the surface of paintings [MBW\*14]. This non-invasive, high-resolution and powerful method is able to spot both geometrical changes as holes, bends and cracks, and colour modifications like abrasion of the original canvas. The authors demonstrated that they can even extract changes smaller than one half millimetre.

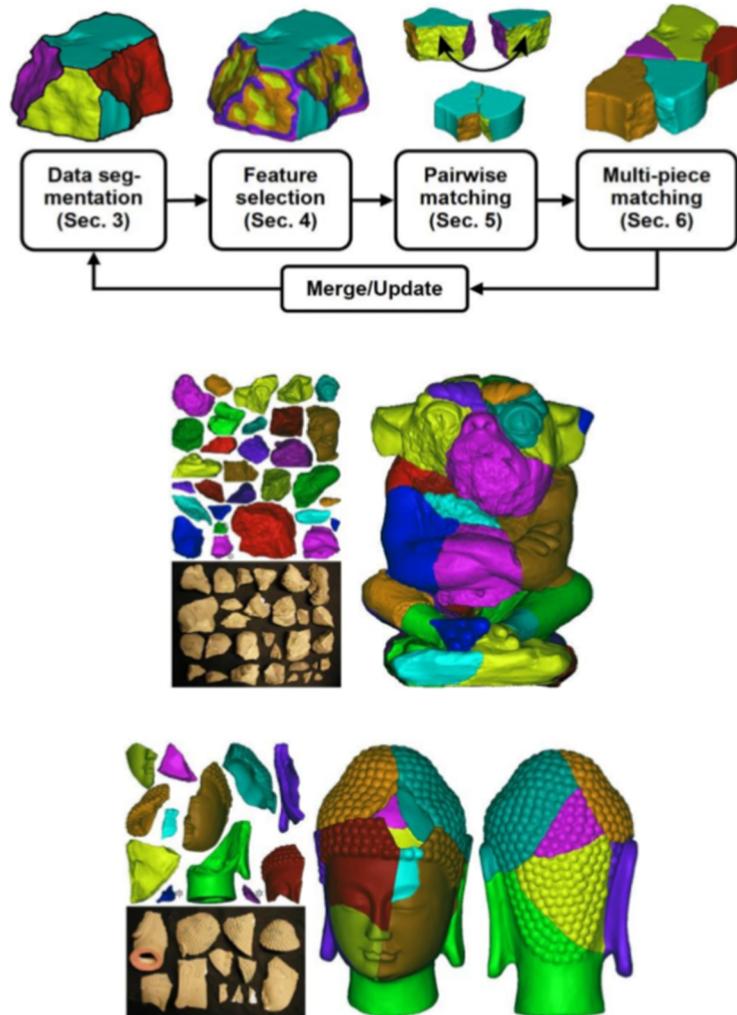
#### 4.1.3. Interpretation

The relief and contour lines on a group of ancient Greek red-figure vases and vase fragments are examined with the PTM [MGW01], PS [Woo79] and 3D scanning for interpretation purposes [AIK13]. They characterize the shape of lines in artworks and answer questions regarding tools, techniques and production sequences used by Greek vase painters. They find two distinct types of relief lines, and give a better understanding of the ancient technology. Further, the work confirms that the relief lines were not produced by an extruded method, but with a brush made with only a few hairs.

## 4.2. Many-to-many analysis

### 4.2.1. Restoration and preservation

Micro-scale analysis and feature extraction have proven to be a central part of reassembly applications, which address the reconstruction of fractured physical artefacts from their pieces. The pieces have generally been scattered over time, so that no *a priori* information is available about the whole, final, reconstructed geometry. These applications make use of various types of data—primarily geometric data, but texture and surface properties are also used. Many methods aim to be fully automatic, while others make use of user input.

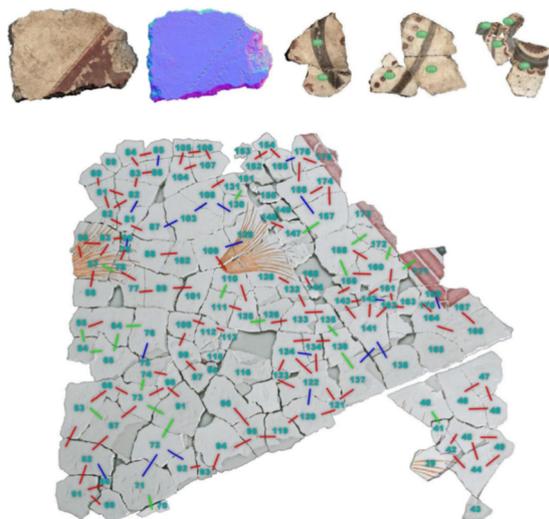


**Figure 7:** Reassembling fractured objects. Top row: high level overview of the reassembly pipeline. Middle and bottom row: two examples of reassembled objects. For each object the image shows the photo (bottom left) and the 3D models (top left) of the fragments, and the final assembly (right). Courtesy of Pottmann et al. [HFG\*06] © 2006 Association for Computing Machinery, Inc. Reprinted by permission.

While also related to computational geometry, the reassembly of fractured objects is mostly treated as a pattern-matching problem, and respective computer vision and graphics technologies have successfully been applied for reconstructing artefacts from fragments. The main idea is to find corresponding parts, to match and align them and to finally reassemble all matching parts. Most of the existing approaches have focused on matching and analysis of the break boundary curves of fragments as they are visually important clues for reconstruction [PKT02], [HFG\*06, BTFN\*08, YLL12]. By indexing features of the geometric information contained in the fracture surfaces of the fragments, Huang et al. [HFG\*06] present a method for automatic reassembly of broken 3D solids (Figure 7). Yu et al. [YLL12] employ a multi-scale descriptor and conduct heat kernel-based partial matching between break boundaries, while Vendrell et al. [VVS12] use hierarchical depth maps to ensure global correction of the reconstruction and to evaluate the correspondence between fragments using an optimistic estimator. Brown et al. [BTFN\*08] observe that in the case of degraded

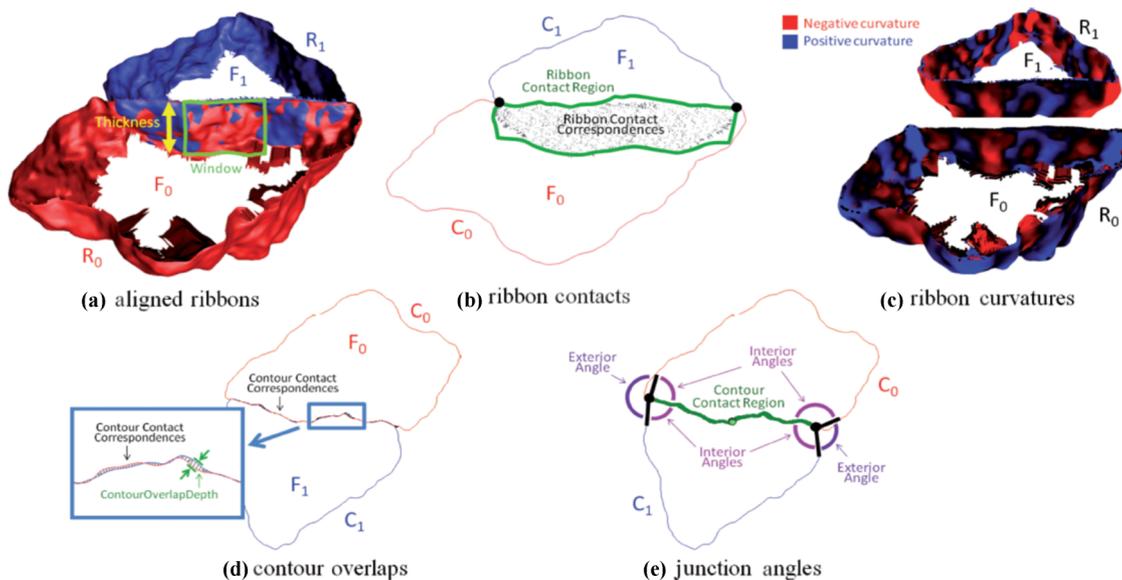
and eroded fracture surfaces, match uncertainty hampers feature-based matching; they propose a computationally efficient brute-force technique to exhaustively evaluate all possible least-squares alignments along edges of a set of fresco fragments (Figure 8). A recent approach [AMK14] introduces a new method for pairwise matching of broken fragments from unorganized point clouds. The new descriptor contains both a cluster of feature points and curves along the principal directions of the cluster; point cluster is obtained by analysing micro-curvature of the surface, while the associated curves are approximated using Fourier series. Matching function between curves is obtained by comparing the Fourier coefficients.

Other methods employ machine learning to directly learn match likelihoods from ground-truth matches, using feature vectors describing fragment colouration, texture parameters and surface markings [TFBW\*10]. Funkhouser et al. [FSTF\*11] show that even when focussing on geometry alone but using a vast number of different geometric feature extractors (Figure 9), supervised learning can



**Figure 8:** Reassembling fresco fragments. Top row, from left to right: apart from small scale 3D features, acquired colours and high-resolution computed normals are important cues for reassembling purposes; the last three images are examples of possible matches between fragments. The bottom row shows the entire ‘ground truth’ synthetic fresco. Red, blue and green lines indicate the matches found by the algorithm. The numbers indicate the fragments that have been 3D scanned [BTFN\*08] © 2008 Association for Computing Machinery, Inc. Reprinted by permission.

significantly boost the discriminative power of a pair-wise match predictor, yielding well-calibrated probabilities whether a match is correct.



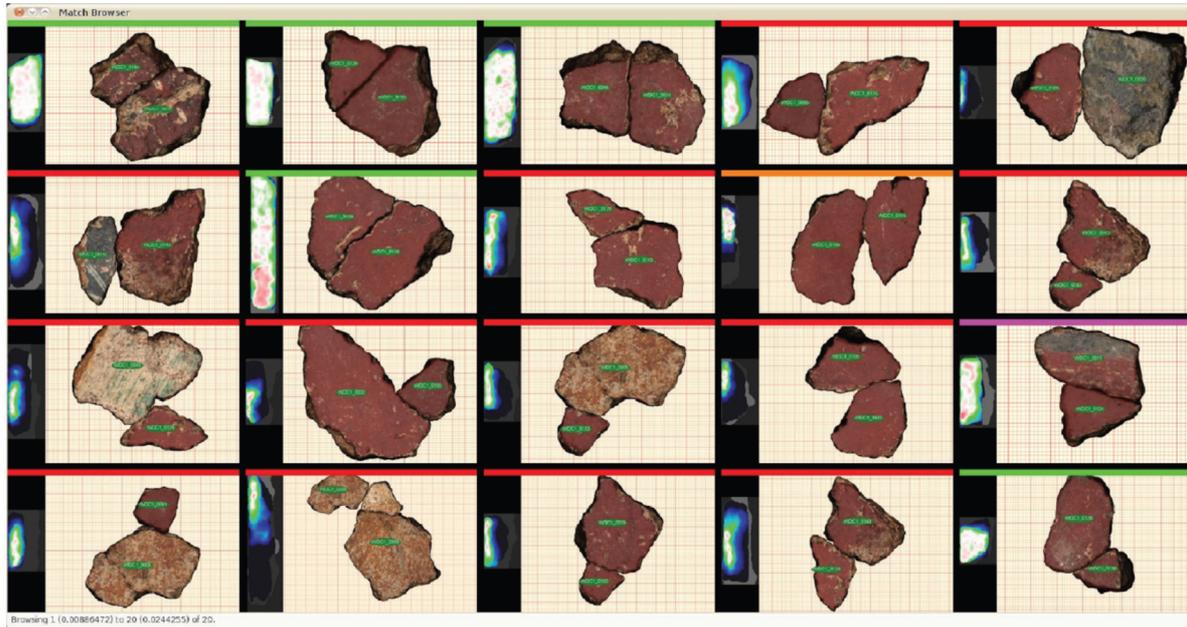
**Figure 9:** Geometric features in fragment re-assembly. Example of micro-scale measurements utilized by match properties in fragment re-assembly pipelines [FSTF\*11] © 2011 Association for Computing Machinery, Inc. Reprinted by permission.

Many other works, too, combine geometric and texture/colour information to disambiguate reconstruction [WC08, KDSK10, ON11, ASC\*13, ZL14]. Kleber *et al.* [KDSK10], for instance, use a shape matching algorithm based on features extracted from shape, marble texture, thickness of marble fragments and location at the excavation, to reassemble the Ephesos marble plates.

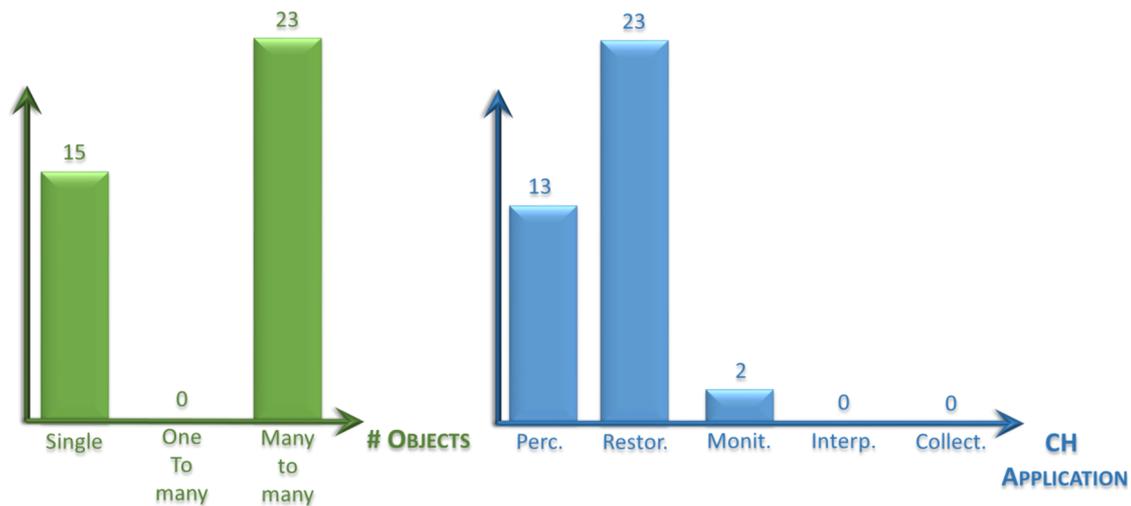
Traditionally, the documentation, administration and scientific processing of archaeological fragments are carried out manually, an intensive and time-consuming effort. Hence, some researchers turn to investigating automatic systems and semi-automatic algorithms in the hope of expediting the reconstruction process and reducing the work from the archaeologists and restoration personnel. Kampel *et al.* [KM03] propose two reconstruction systems, adopting the traditional archaeological methodology of using the properties of the orientation and the profile of archaeological fragments. Based on such an approach, many works have tried to make the reconstruction algorithms either semi-automatic [MRS10, BLD\*12] or fully automatic [GCBR\*11, YWML11, PKT01, PK03, PAE\*12]. Belenguer *et al.* [BV12] put particular emphasis on implementation efficiency, speeding up geometric transformations, visibility tests and discretization operations by pre-calculating data and by moving all heavy calculations to the GPU.

While most reassembly approaches are bottom-up, starting from fragments, Shin *et al.* [SDF\*12] analysed fracture line statistics of a (manually) assembled wallpainting to study the characteristic way that frescoes break (top-down). Some of the geometric features Funkhouser *et al.* [FSTF\*11] incorporated in their match predictor were motivated by Shin *et al.*'s findings. Nevertheless, such reassembly by ‘inverse fracture’ is only in its infancy.

Some useful tools have also been developed for assisting archaeologists to analyse and reconstruct archaeological objects from their fragments [LW04b, BLD\*12, PPCS12]. Figure 10



**Figure 10:** Match browsing tool. The match browsing application displays thumbnails of proposed matches along with a cross-section of the matching area. The user marks correct matches (green bar), possible matches (orange bar) and incorrect matches (red bar). When the user confirms a match, all conflicting matches are marked with a purple bar. For instance, the 15th proposal above is impossible because it occupies the same edge of fragment WDC1\_0124 as the second match, which has been confirmed correct [BLD\*12] (Copyright MultiScience Publishing Co Ltd).

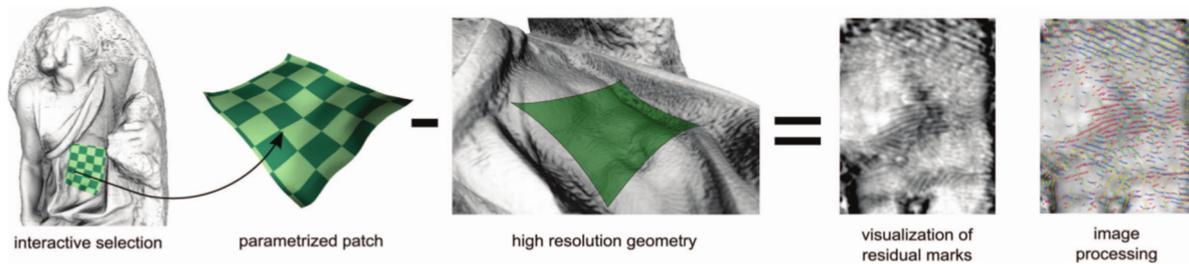


**Figure 11:** Micro-scale distribution. The majority of the works related to micro-geometric analysis focus on two main CH applications: restoration and perception enhancement. All the restoration approaches are of course classified as many-to-many, while almost all single object analysis belongs to the perception enhancement class.

shows the semi-automatic matching tool proposed by Brown *et al.* [BLD\*12]. Haptics can improve an assembly task by giving feedback when objects collide [RRC\*10]; Olsson *et al.* [ONHC13] integrate a 6-degrees-of-freedom haptic capability within a fragment alignment tool for craniomaxillofacial surgery and archaeology applications.

#### 4.3. Discussion

The majority of the works related to micro-geometric analysis focus on two main CH applications: restoration and perception enhancement (Figure 11, right). In the first case, the local features are the key to reconstructing the (unknown) global shape of the final



**Figure 12:** Residual marks detection. A perception enhancement pipeline based on a local flattening of a portion of a 3D model, followed by an image processing step applied to the resulting 2.5D map. The user manually selects a portion of the 3D model, the algorithm finds a parameterization on the patch, and a representation of residual marks is obtained. Finally, image processing allows the user to classify different chisel marks. Courtesy of Pietroni et al., © [2011] IEEE. Reprinted, with permission, from [PMCS11].

object; apart from the geometrical characterization at small scales of each single fragment, there usually is no other *a priori* information available to solve the reassembly problem. The second case aims at improving the perception of small-scale details of the 3D model of a given artefact, which is a valuable tool to assist scholars in their research work. In contrast, the works classified in the *monitoring, interpretation* and *collection analysis* groups use different pipelines and mathematical representations depending on the CH item involved; they require specific information that is not independent from the particular kind of object, and is typically visible at meso or macro scales. The single work we have found in the *monitoring* group proposes a general framework, where the differences over time are obtained using micro features, and it can be applied to a wide range of objects, regardless of their global or local behaviour.

All the *restoration* approaches are of course classified as *many-to-many*, since they exploit the combinatorial comparison between features from different pieces being re-assembled. Conversely, almost all *single* object analysis belongs to the *perception enhancement* class. This is because the operator to increase detail readability has been independently applied to a single CH sample, without taking into account any other information coming from similar items.

## 5. Meso Geometry

In this section, we organize the literature related to the techniques that studies local parts of a 3D model to perform analysis in the CH field.

*What is?* The *meso geometry* analysis focuses on a particular sub-region of an entire 3D model. These approaches use geometric operators that take into consideration an extended area of the surface as a large set of primitives. They are strongly dependent on the local geometrical behaviour, and typically they were designed starting from an *a priori* knowledge of the object type. In general, these methods tend neither to analyse the sample at a primitive level, nor to globally analyse it as a whole. Georgiev and Lakaemper [GL11] defined what they call *mid-level geometric features*, that is, large planar sub-regions of an object, which are neither the smallest available primitives (micro), nor the object as a whole (macro). Another example is the class of methods to enhance 3D visualization by local surface flattening. Local flattening neither works at the primitive level (micro), nor does it try to flatten the

whole object at the same time, since it is generally an ill-posed problem due to global geometry constraints (macro). Finally, the idea of non-local shape descriptors is well defined in many image-processing techniques. For instance, Heinrich *et al.* [HJB\*11] use the insights behind non-local means [BCM05] to extract a structural characterization of the 2D image geometry in an extended non-local region; they use non-local weights to analyse images for deformable registrations. Another method [SI07] uses a related descriptor (non-local self-similarity description) for an object detection task.

### 5.1. Single object analysis

#### 5.1.1. Perception enhancement

Pietroni *et al.* [PMCS11] present a pipeline to analyse the 2.5D information distributed over 3D geometry, using a local 2D parameterization. They show the effectiveness of their method to solve specific CH tasks, such as the study of chisel marks over the surface for tool identification (Figure 12). Schmidt *et al.* [SSB11] transform a 3D model into a 2.5D representation as well, and apply image-based processing to the depth and reflectance signal to extract lines and contours in Chinese Buddhist stone inscriptions.

In a similar vein, Pal *et al.* [PTW13b, PTW13a, PSP\*14] present an interactive application for browsing severely damaged documents and other cultural artefacts, which contain distortions such as wrinkling, buckling and shrinking. In contrast to the above, their goal is not only to study the 2.5D structure, but to flatten local regions of the document for better readability of the original text, which would be impossible to do for the physical items due to their fragile condition (Figure 13). Their system consists of an interactive viewer that allows a user to browse a document while dynamically flattening only the local region under inspection. A follow-up work [PSP\*14] exploits the flattening for a global restoration of damaged documents (see Section 6.1).

#### 5.1.2. Restoration and preservation

In the field of document restoration, Pintus *et al.* [PMW\*09] present a technique that uses a flatbed scanner and PS [Woo79] to identify and repair scratches or tears in old document or photographs (Figure 14). They exploit normal estimation to find creases in the



**Figure 13:** Interactive browsing of damaged documents. Severely damaged documents are acquired in 3D using a Structure-from-Motion approach. A dynamic flattening is performed while the user browses the document in order to increase its readability. We show sections of the mesh rendered in local-affine mode without flattening (left) and with local-flattening mode (right). Note that the original wrinkles remain visible since the shading is baked into the texture [PTW13b] © The Eurographics Association and John Wiley & Sons Ltd.

almost flat surface, and they apply an infilling operator to restore original pixels. Although the texture synthesis is trained with the information from the entire image (i.e. the non-damaged part), the restoration is performed locally and it is driven by the content of the image in the local neighbourhood of the damaged pixel. Conversely, in Section 6.1, document restoration is a global transformation (typically an undistortion) applied to the whole page.

## 5.2. One-to-many analysis

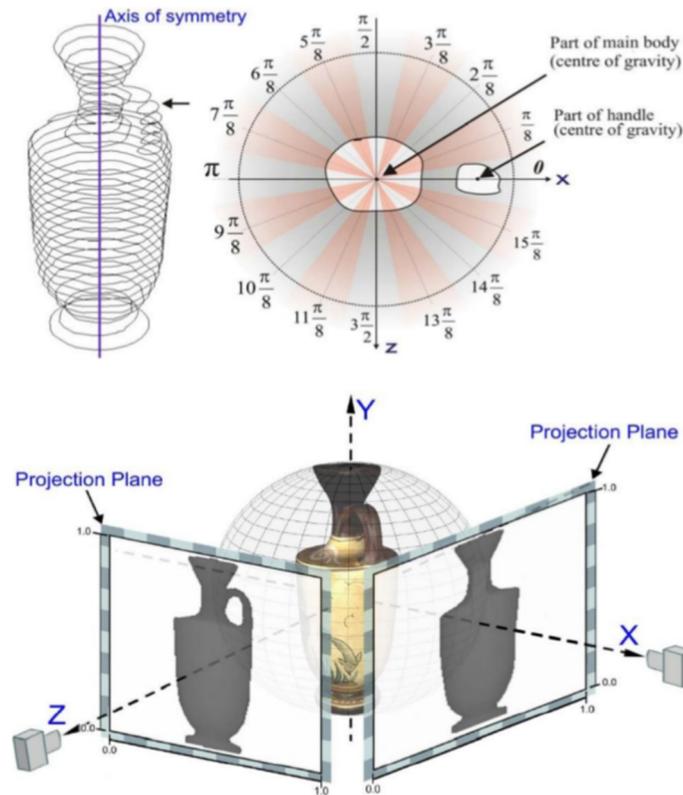
### 5.2.1. Collection analysis

There is an increasing demand within the humanities and social sciences to use digital databases for rapid queries and fast browsing of huge sets of artworks. In this scenario, analysis based on meso geometry plays an important role, since it enables mathematical representations of such items in terms of local geometric feature vectors. These give a numerical description of sub regions of an object for its classification and recognition. 3D scanning technology is a standard archaeological tool for pottery analysis. Koutsoudis

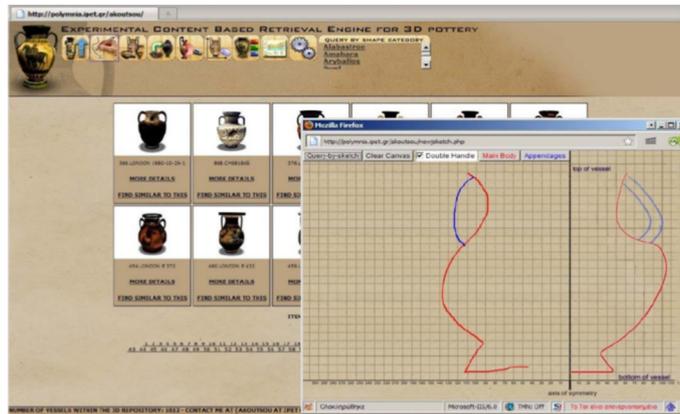


**Figure 14:** Document restoration. First row: two images acquired with a flatbed scanner that incorporates two separate and independently controlled illumination bulbs. By using photometric stereo [Woo79] tears and creases are extracted (low-left), and infilling algorithms are applied to digitally restore the image (low-right) [PMW\*09] (With kind permission from Springer Science and Business Media).

*et al.* [KPC10] developed a method to detect similarities in 3D pottery repositories. They designed two shape descriptors (Figure 15). The first is based on the axial symmetry of common potteries, and consists of a set of curves obtained by intersecting the pottery surface and a plane orthogonal to its axis of symmetry; the other is a set of two depth maps obtained by projecting the object onto two orthogonal planes, which are both parallel to the vessel's axis of symmetry. They employed these descriptors into a web-based 3D pottery search engine (Figure 16). Karasik *et al.* [KS08] acquired about a thousand of potsherds with variable shapes, sizes and surface properties from several sites and periods, and exploit this large range of archaeologically relevant pottery types to test an algorithm to extract their overall axis of symmetry and their mean profiles. They analyse the particular morphology of pottery [KS11] by using three mathematical representations of the pottery items' profiles, and defining a proper metric to measure the distance between them. Cluster Analysis and Discriminant Analysis are then used to reveal a hierarchical classification of possible assemblages; a Procrustes analysis is also used when dealing with a global comparison between artefacts (see Section 6.2). A recent work presents a partial retrieval solution for 3D pottery objects as well [SPS14a]. It extracts fast persistent feature histograms calculated on partial point cloud queries. After applying statistical filtering to denoise the input sample, and to remove irregularity associated with damage, a bag of visual words scheme is used together with Fisher encoding, which estimates Gaussian mixture models by expectation maximization and builds a visual codebook. A different approach based on panoramic views has been recently presented by Sfikas *et al.* [SPK\*14, SPK\*13]. Their methodology uses Bag-of-Visual-Words modelling and enhanced Dense SIFT descriptor to address objects retrieval from range image queries of partial views. They



**Figure 15:** Pottery shape descriptors. Top: set of curves by intersecting the pottery and a plane orthogonal to its axis of symmetry. Bottom: set of two depth maps by projecting the object onto two orthogonal planes. Courtesy of Koutsoudis et al., © [2010] IEEE. Reprinted, with permission, from [KPC10].



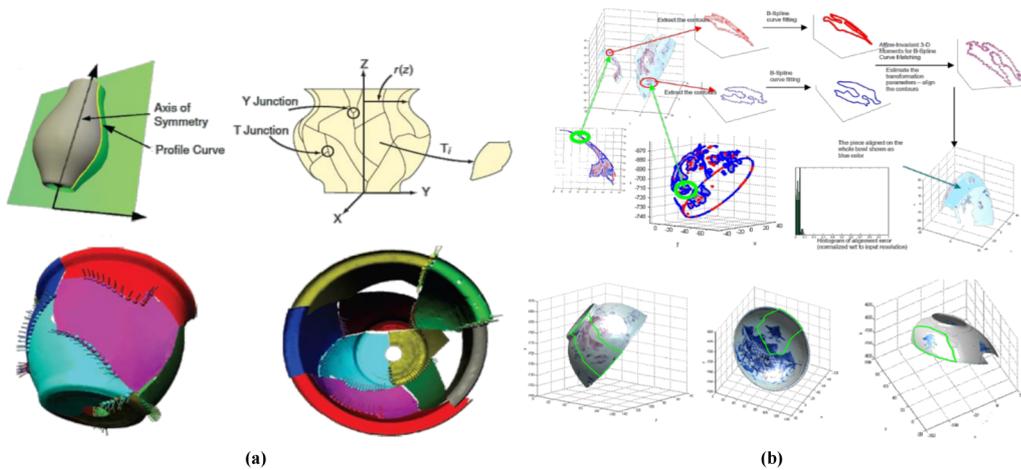
**Figure 16:** 3D Pottery Search Engine. Web-based 3D application to perform query-by-sketch. Courtesy of Koutsoudis et al., © [2010] IEEE. Reprinted, with permission, from [KPC10].

apply this method to pottery databases as well. Finally, the Pottery Informatics Query Database (PIQD) [SKN\*12] is another example of quantitative analysis applied to large sets of CH items. This is an open-source online tool that enables scholars and students to test humanities-related hypotheses against ceramic data, by defining a way to build comprehensive ceramic taxonomy and their regional spatial patterning.

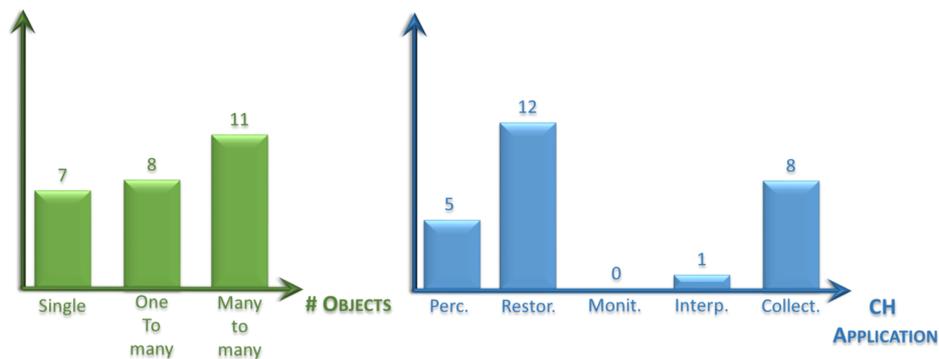
Itskovich *et al.* [IT11] used a surface partial-matching technique in a shape classification and retrieval scenario; they apply the method to Hellenistic vases, lamps and coins (Figure 17) inside a database, given a portion of the object as a query geometry. Their algorithm is capable of matching the correct item, even if they differ in the query location within the surface and in the level of noise.



**Figure 17:** Shape retrieval of coins. The algorithm finds the magenta goblets given the blue query. The coins are different in terms of the goblet shape, its location, level of noise and many other factors. Courtesy of Tal et al. Reprinted from Publication [IT11], Copyright (2011), with permission from Elsevier.



**Figure 18:** Reassembly by meso-scale features. (a) radial symmetry constraint (courtesy of Willis et al., © [2008] IEEE. Reprinted, with permission, from [WC08]); (b) matching by weighted curve moments across adjacent sherd surfaces (courtesy of Cohen et al., © [2010] IEEE. Reprinted, with permission, from [CTL10]).



**Figure 19:** Meso-scale distribution. Methods in the perception enhancement deal with local surface flattening, while most of those in the restoration class are related to reassembly fragmented objects. The restoration approaches are classified as many-to-many, while almost all single object analysis belongs to the perception enhancement class. We have here some techniques in the one-to-many class, all of them related to collection analysis.

**5.3. Many-to-many analysis**

**5.3.1. Restoration and preservation**

Contrary to pure feature based frameworks (see Section 4.2), some assembly processes incorporate *a priori* knowledge about the application domain. We classify them as meso-geometric analyses,

because they extract local descriptors, but they are not independent on the local or global object geometry. Pottery reconstruction, for example, benefits particularly well from exploiting the fact that most pottery was created on a potter’s wheel, that is, the final assembly is expected to be radially symmetric [WC04a, WC04b], [WC08] (Figure 18a). In its most stringent form, however, this

assumption may prove unstable in the presence of very small or flat fragments [KS04] and wherever there are larger imperfections in the earthenware. Cohen *et al.* [CLE12] hence choose less-stringent continuity criteria by matching weighted curve moments across adjacent sherd surfaces (Figure 18b), and Kikuchi *et al.* [KO13] pursue a similar goal in the context of cranial reconstruction from skull fragments: they fit a parametric Bézier surface to the surface of each neurocranial fragment to predict the surface shape of adjacent fragments, thus finding matches through surface extrapolation rather than just contour matching. Similar approaches exploit skull symmetry to drive the re-assembly and completion tasks [LYW\*11, WYLL11]. Other applications, such as architectural reconstruction from fractured masonry, require extracting different structural cues, such as the main orientation of architectural features. A particularly challenging case are Gothic spires, where Laugerotte *et al.* [LW04a] extract dominant directions in a way that is resilient to the presence of ornamental features. Although lost, when the overall shape of a destroyed monument is known roughly, virtual anastylosis [CFB\*13], [TFK\*09] is another application that performs local geometrical analysis for the archaeological and architectural reconstruction of a historic site, after a careful study of the remaining original elements.

#### 5.4. Discussion

*Meso-scale* methods in the *perception enhancement* typically deal with local surface flattening, while those in the *restoration* class use the same pipeline as in the *micro-scale* based works, but also exploit the knowledge of the object topology. The majority of them combine micro feature extraction with the analysis of a bigger neighborhood. For instance, the possibility to locally represent the surface as a plane enables the flattening strategy, or the analysis performed on vessels benefits significantly from their cylindrical structure. However, compared to other techniques, the *meso-scale* analysis is the best choice for *collection analysis* applications. The extraction of features that depend on local geometry enables the studying of a database with a huge number of object with the same topology but with a high rate of variability. The analysis at this scale produces a good classification and successful retrieval in many practical CH cases, such as pottery, vessels, lamps and coins. Compared with the *micro-scale* analysis, the distribution of the methods (Figure 19) in the *single* and *many-to-many* classes is very similar, with almost all the *restoration* approaches in the *many-to-many* class, while the *perception enhancement* in the *single* group. However, in addition we have here some techniques in the *one-to-many* class, all of them related to *collection analysis*.

### 6. Macro Geometry

In this section, we organize the literature related to the techniques that studies the entire shape of a 3D model to perform analysis in the CH field.

*What is? Macro geometry* based techniques analyse a 3D model as a whole, by processing and retrieving information from a global point of view. Each technique was typically developed in order to deal with a particular object type or even a single artwork. Hence, *macro geometry* is strongly dependent on the nature of the sample



**Figure 20:** Increasing perception with rollouts. Top: the ‘Cylinder seal of Ibni-Sharrum’ and its development on clay; Bottom: the result of an unwrapping of the cylinder followed by an inversion of the depth (negative rollout). Courtesy of Pitzalis *et al.* [PCMA08] (Copyright Eurographics Association).

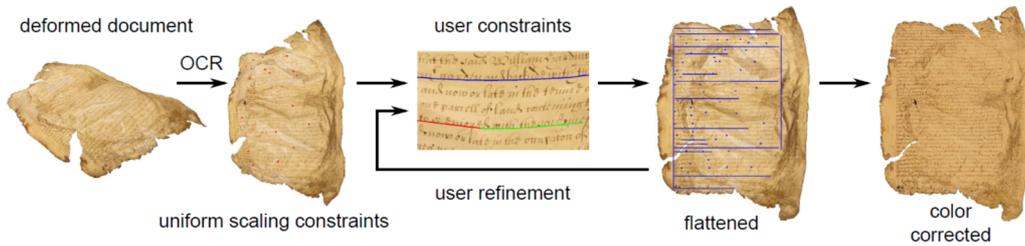
being analysed. This class doesn’t include local approaches that studies only a sub-region (whatever is its size) of the given model. Typical examples are global flattening or rollouts, which are techniques that perform a geometric analysis and drive the processing of the input 3D model by taking into account its entire global structure. Geometric analysis for packaging applications is another field that requires a global algorithm; it studies the overall shape of a 3D model in order to minimize both the used material, and, more important, the risk for the work of art during transportation or long-term storage.

Typical 3D models are obtained with triangulation laser scanning, time-of-flight scanners or CT volumetric acquisition devices.

#### 6.1. Single object analysis

##### 6.1.1. Perception enhancement

A useful way to document archaeological finds is the representation of so-called rollouts. The analysis of rotation-symmetric objects with paintings or inscription benefits from rollouts because they give an overall view of the CH content [RMK13]. Typically they are created either by manual drawing or from photographs. Conversely, generating 2.5D rollouts from colour and geometry acquisitions represents a more reliable framework, which assists the scholars in the iconographic interpretation task. Pitzalis *et al.* [PCMA08] apply this analysis to the ‘Cylinder seal of Ibni-Sharrum’, a finely engraved object; its rollout reveals in one single 2.5D basrelief the entire structure of the fine drawings in the seal (Figure 20). They combine this pipeline with the detail enhancement technique proposed by Cignoni *et al.* [CGPS08] (see Section 4.1). Bechtold *et al.* [BKMK10] combine the geometry and the colour to produce rollouts of figurative vase painting. They use 3D geometry from a structured light scanner to drive the transformation of the vase cylindrical topology into



**Figure 21:** Interactive restoration of historical documents. All steps of the restoration algorithm presented by Pal et al. [PSP\*14] and applied to historical documents. From left to right: the original distorted document is flattened using the Jacobian estimated from the OCR analysis. An interactive refinement of the constraints improves the restoration, and is iterated until the desiderata of the domain expert are satisfied. Ultimately, we remove the intensity and colour variations from the texture [PSP\*14] © The Eurographics Association and John Wiley & Sons Ltd.

a 2.5D surface. For study by handling replicas, one of the most important issues is the perception and readability of the details in the printed replica (see Section 4.1). Laycock *et al.* [LBM\*13] used x-ray micro-CT technology to scan one of the 19th Century Cantonese Chess pieces with a voxel resolution of less than  $9 \mu\text{m}$ . They use a straightforward scaling of the entire model, by 3D printing the model at a higher scale; this enables the scholar to appreciate both the overall structure of the chess piece and the fine surface details imperceivable in the original. Further, since those objects have an intricate internal structure, they virtually dismantle each part of the piece, so that they allow scholars to study those items separately.

### 6.1.2. Restoration and preservation

Document analysis is a topic of broad scope. Here we consider techniques that use advanced representations (such as 2.5D geometry and surface normals) to virtually restore documents and analyse their content. Documents can degrade in numerous different ways as they age. Much work has been carried out on virtually correcting the geometric distortions, scratches or tears. Some of the existing methods focus on solving the problem locally (see Section 5.1), while others take into account the entire page to produce more physically coherent restoration. These latter approaches correct large distortions by capturing 3D triangle meshes of the document shape and flattening them using geometry processing techniques [BS04, SYY\*05, BSY\*07, PSP\*14] (Figure 21). Others correct finer geometric distortions using image-based methods [LSC08, Lan13]. Lin *et al.* [LLS06] present a framework to preserve 3D documents, such as fragile bound manuscript with a non-uniform surface, documents engraved on stone, or scrolls that have been entombed in volcanic ash. They use 3D technologies to acquire the surface and to produce a physically-based 3D-to-2D transformation that converts the 3D model to a 2D image, subsequently preserving and giving a restitution of its intrinsic textual information. Another non-destructive method [SL04] was presented to reveal inaccessible text buried within damaged books and scrolls. This method is based on volumetric scanning, data modelling and physically-based simulation; it recovers readable text without physically opening or damaging the fragile items (Figure 22). Samko *et al.* [SLMR14] use X-ray microtomography to acquire a volumetric reconstruction of a scroll of parchment that, due to its state of preservation, cannot be unrolled. The X-ray imaging picks up ink concentration, allowing for 3D re-



**Figure 22:** Volumetric scanning of a rolled papyrus. Top: one slice of a CT-scan, a digital image of the original item, and a close-up view. Centre: two views of the particle system simulation, and a detailed view after unrolling. Bottom: a comparative evaluation by overlapping the unrolled information from CT-scan and the original papyrus. Courtesy of Seales *et al.* [SL04] © 2004 Association for Computing Machinery, Inc. Reprinted by permission.

construction of the ink distribution followed by virtual unrolling of the scroll's content (Figure 23).

Extensive and global morphological study of 3D surface characterization represents another invaluable source of qualitative and quantitative information to predict the proper restoration procedures. An important example is the scientific investigation employed in the restoration of Michelangelo's David [CCG\*04], which produced guidelines for integrating 3D data analysis in CH applications. They perform physical measures as the height of the David, its surface area and the volume; they also analyse the global geometry of the statue to evaluate the exposure to falling contaminants, such as rain, mist or dust. Finally, a study is performed to visualize the centre of mass projection onto its base; this helps to understand how the weight of the entire statue is distributed, and which are the corresponding

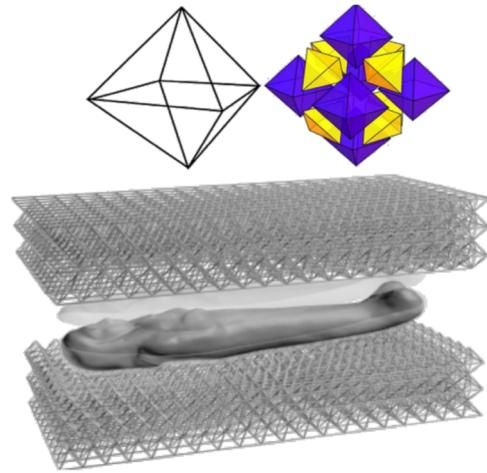


**Figure 23:** X-ray micro-tomography applied to restoration. Top: a small region of a rolled historical parchment acquired with a X-ray micro-tomography scanner. We show from left to right the rendering of a cut of the volume with pseudo-color, a tomographic slice and a close-up view of it with highlights in the ink regions (red). Bottom: the comparison between the original unrolled parchment and the reconstruction obtained by virtually unrolling the X-ray volumetric data of the scrolled parchment. Courtesy of Samko et al. Reprinted from Publication [SLMR14], Copyright (2014), with permission from Elsevier.

weakest parts that must be preserved and eventually renovated. The high-resolution 3D model of the ‘Pietà’ [BRM\*02] has been used by Wassermann et al. [WCV\*03] to study the statue’s damage and subsequent repair.

Moreover, the analysis of captured 3D models and the use of rapid prototyping are crucial for conservation and CH restitution as well. Although extensively used for perception enhancement (see Section 4.1), 3D printing plays an important role in the preservation field. Transporting and storing the artefacts are complex issues, involving different packaging solutions, such as double boxing, padding negative spaces and cushioning braces. A recent paper [SEG\*12] proposes the use of the generative modelling language in order to analyse the 3D model of artworks and to 3D print unique 3D-fitted containers for packaging them (Figure 24).

Some methods cope with the virtual and physical reconstruction of missing part of a badly damaged CH items [FMOS11]. They try to perform a completion of those parts by using the information from the scans of the whole ruined sample (e.g. by exploiting symmetry of certain objects), or from other possible hypotheses of structures based on drawings, descriptions and old texts. They also analyse feasibility of potential structural configurations [LD06]. Hess et al. [HRM\*09] combine 3D laser scanning and anthropological research to deliver a holistic virtual 3D reconstruction and multimedia interactive experience of a Melanesian war canoe. The combination of reverse engineering and rapid prototyping offers a tool that overcomes limitations in some completion frameworks. Completion of the skeletal material [FdCP\*08] is crucial due to the fragility of the skeletal findings, which should limit the over-handling of these fossils, and the rarity of these remains, which precludes any task that assembles together existing and reconstructed fragments. Hence, digital modelling, 3D scanning and rapid prototyping together are essential tools that can be usefully applied for reversible and



**Figure 24:** Geometric analysis for packaging applications. Top: the octahedron, which is the basic unit that has been used to build the 3D printed package. Bottom: The final structure ready to print. Courtesy of Sá et al. [SEG\*12] (Copyright Eurographics Association).

non-invasive restoration processes of damaged bones, by providing a virtual and physical restitution of a whole bone and preserving the original one.

### 6.1.3. Monitoring

Geometric acquisition and measurements produce snapshots that can be used to track global conditions of an object through time, for instance to document the evolution of an archeological destructive intervention, or based on the idea that changes over time might indicate damages. Archeological sites are problematic case studies in the monitoring field, both during their excavation and for their long-term preservation (see also Section 4.1). In the former case, fast 3D acquisition techniques are required, since a complete geometrical capture of the working site is required every day, or even every few hours. Automatic dense stereo reconstruction tools and 3D scanning are particularly suitable for monitoring of excavations [DDUC\*13, CDD\*11, DRDSH\*14, KOC\*14], and, coupled with the proper interactive tool (e.g. Meshlab [RCD\*12] or Hyper3d [KRF\*14]), they provide a useful workflow for objective spatio-temporal analysis and documentation (Figure 25).

In civil engineering, recent work on the computational and mechanical analysis for evaluating the structure and stability of bridges integrates the results of close-range digital photogrammetry, CAD tools and finite elements analysis (FEM) [AADC\*07, RCAS11, RSdA\*13]. Close-range photogrammetry has also been used to locate structural problems in CH monuments [AHLO05]. Some techniques analyse the damage and deformation which may have occurred depending on different aspects of construction [ARPLA10, SVG09]; they can also allow engineers and architects to estimate the influence of geometric variations in the distribution of stress [ACCLA07]. Finally, De Balestrini et al. [FdBBB\*13] presented a survey methodology to investigate earthquake damages of the ‘Camera degli Sposi’ of Mantegna (Mantova, Italy). They use both



**Figure 25:** Monitoring the excavation with photogrammetric approaches. Top: acquired 3D model of the same archaeological site in different times, showing the evolution of the excavation. Bottom: interactive slicing visualization to highlight the evolution of the excavation in specific areas of the site. Courtesy of Dellepiane et al. Reproduced from [DDUC\*13], Copyright © 2013, published by Elsevier Masson SAS. All rights reserved.

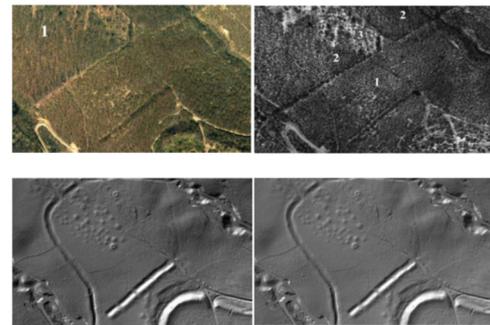
time-of-flight and triangulation laser scanners to produce multi-resolution morphological maps of deformation, that highlight the damaged parts of the building and help to understand the damage mechanisms.

The result of the diagnostic phase is a mandatory prerequisite for the evaluation of any kind of intervention or restoration in any architectural, or artistic framework. The incomplete nature of petroglyph drawings and photographic data makes them insufficient to track the effects of natural destructive processes; 3D laser scanning was found useful to record and monitor the erosion of rock art [BCDA\*05].

#### 6.1.4. Interpretation

An important attribution problem in CH consists in the identification of structures that are man-made within cluttered environments. Recent studies have examined the possibility of using LiDAR in archaeological investigations to map and characterize earthworks, to capture features that may be indistinguishable on the ground and to aid the planning of archaeological excavation campaigns [LCM11, DACC05]. The approach proposed by Doneus and Briese [DB06] aims at analysing airborne laser scanning data to identify archaeological sites within forested areas (Figure 26). It relies on the capability of such an acquisition device of penetrating forest to a certain degree (depending on the vegetation density), and on the possibility to compute the terrain surface even in wooded areas. In this way they are able to distinguish natural and recent features, like brushwood, or piles of twigs or wood, with small features related to the presence of an archaeological site.

Recently, high interest has arisen in the 3D morphological analysis applied to interpretation of works of art. Dellepiane et al. [DCF\*07] use the data from 3D scanning and image-to-3D-model mapping in order to measure the plausibility of an attribution hypothesis of a Renaissance artefact. In a metrically objective



**Figure 26:** Analysis of airborne laser scanning data for archaeological sites identification. Top: aerial and intensity image of the studied area. Bottom: resulting DTM after processing, which shows possible archaeological sites once the information of forested areas has been removed. Courtesy of Doneus et al. [DB06] (Copyright Eurographics Association).

framework they compare the global shape of a digital model of a small bronze horse to a silverpoint drawing by Leonardo, which qualitatively displays a high degree of similarity, and was thought to be drawn by directly looking at the bronze. Using image-to-3D-model mapping techniques, they were able to superimpose the 3D model with the Leonardo's drawing, giving scholars a better objective cue to solve and assess the issue of that particular disputed hypothesis. Muzzupappa et al. [MGM\*12] address the interpretation problem related to the 'Riace Bronzes' statues. In particular they exploit 3D scanning techniques to study the right hand of the 'Bronzo A'. They provide archaeologists with some objective data about the hand shape, by measuring a series of geometrical data such as cross-sections, deformations and volumes. This approach helps to enforce the hypothesis about the object in the hand, which is one of the fundamental steps to understand the statue's identity. Nadel et al. [NFRM15] use a combination of multi-view reconstruction, 3D macro feature extraction and geometrical analysis to address archaeological study of prehistoric bedrocks, and the corresponding raised questions concerning their chronology, the techniques used to make them, how their forms and functions are correlated, the spatial distribution of these works of art across different sites as well as their social and cultural contexts. For each of them they extract a dense 3D model, with a millimetre resolution, and they characterized it by shape measurements such as volume, sections and vertical and horizontal reflective symmetries. The use of 3D modelling and rapid prototyping is employed by Docchio et al. [DST05] in order to explore and verify a new hypothesis on the origin of the 'Vittoria Alata' bronze statue, by performing a set of measurements related to distances between pairs of fiducial points suggested by archaeologists.

In addition to attribution and identification, geometric analysis is used for the investigation of the motivation for a work or its alteration. A high resolution 3D model of Michelangelo's Florence Pietà [BRM\*02] was used by Wasserman et al. [WCV\*03] to analyse Michelangelo's motivation for breaking apart the statue which was subsequently reassembled by another artist. By virtually removing pieces of the work that had been repaired, Wasserman et al. were able to present the piece post-damage, and observe that it is

similar in form to subsequent work by Michelangelo. They claim that the Michelangelo in breaking off pieces of the statute was acting to produce another sculpture, rather than in a fit of anger.

## 6.2. One-to-many analysis

### 6.2.1. Restoration and preservation

Given a damaged face appearing in a 2D image (e.g. from paintings), Lanitis *et al.* [LS09] describe a method to perform virtual restoration. They estimate the complete 2.5D shape of a face using data from the non-damaged face. Then, they compare the face with other similar samples in the training set of non-damaged faces, and predict the texture of the damaged regions. They use a similar texture synthesis approach as in Pintus *et al.* [PMW\*09] (see Section 5.1), but in their restoration framework they exploit the global transformation between the 3D and the 2D domain, by mapping the restored geometry and colour back onto the original image.

### 6.2.2. Monitoring

Methods that allow to compare multiple different data sets (floor plans, drawing, 3D scans) and to explore possibilities about construction and layout are employed by Fiorillo *et al.* [FRB\*13] to monitor the historical representations of a site over time. They compare the accurated 3D model obtained from a laser scanner system with ancient manual drawings. The basic idea is to check the global dimensional accuracy and the overall formal correctness of surveys performed by three different authors in the late 18<sup>th</sup> and in the 19<sup>th</sup> centuries.

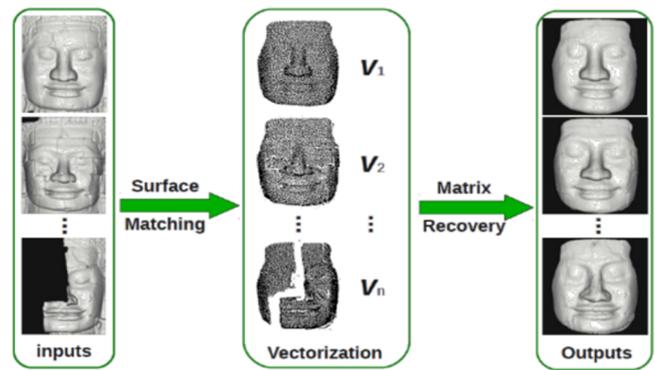
### 6.2.3. Collection analysis

Three-dimensional scanning campaigns allow for an extensive study on how to compare similar digital objects within massive 3D databases [GCJ\*07]. For instance, recently, hundreds of Gallo-Roman white clay figurines coming from the centre of France have been digitized using 3D scanners. Bourdeu and Pitzalis [BP10] presented a method to quantify and compare the shapes of those models. After placing homologous points (landmarks) along the entire object surface, they use geometric morphometrics, and in particular the Procrustes analysis, to extract an estimation of the difference between two shapes. This framework assists the scholars in determining the provenance of the figurines based on an objective estimation of statistical shape variability.

## 6.3. Many-to-many analysis

### 6.3.1. Restoration and preservation

Lu *et al.* [LZT\*11] use the analysis and quantitative comparison between different 3D digital models of statues in a many-to-many framework, in order to solve the problem of restoring the facial sculptures in the city of Bayon. After performing a rough shape recovery using all parameterized samples in the scanned data, they performed hierarchical clustering to group similar faces. Then they refined the restoration applying the shape recovery algorithm to high resolution data in each respective cluster (Figure 27).



(a)



(b)

**Figure 27:** Restoration of statues from many-to-many analysis. (a) the basic idea behind restoration of collection of object. After aligning of all 3D models, dense correspondences are found. A vector representation of each input shape is computed from the correspondence sets, and matrix recovery is applied to restore missing parts; (b) examples of restored results (upper model is the measured shape, while the bottom one is the restored output). Courtesy of Lu *et al.* [LZT\*11] (Copyright Eurographics Association).



**Figure 28:** Macro-scale distribution. All the techniques are spread over the CH Application axis. However, due to the scale of the analysis, so far they focus mostly on the study of a single type of object or even a particular isolated test case (see # Objects distribution).

### 6.3.2. Collection analysis

Shape comparison by using digital copies draws increasing attention in modern culture heritage studies [ZLZ\*13]. Recently, a technique is presented [LZZ\*13] that analyses portrait sculptures of Augustus with 3D scanned data. It proposes a framework of automatic object categorization, where distinguishing regions are simultaneously detected. In particular, they employ a fully data-driven categorization method to extract *distinguishing regions* with the aim of recognizing the style of forehead hair. In a similar way, Bevan *et al.* [BLMT\*14] perform a many-to-many morphometric analysis and comparative taxonomy of the ear shapes in a large set of China's terracotta warriors. They acquire the statue by using Structure-from-motion technique and produce a detailed 3D model of each warrior's head. Then, they standardized the geometry of each ear in order to make it suitable for comparative purposes, by defining a canonical size, orientation and point density. They employ an ICP-based framework to register all ear items, in order to compute a dense distance matrix between each pair of ears. Dissimilarity study applied to this database lead to some conclusions about workshop micro-style, for example, distinct workshop locales or worker origins.

Hinton *et al.* [HHML11] use geometric comparison to infer the temporal sequence of the production of a series of busts of Benjamin Franklin. They considered 3D scans of marble, terra cotta and plaster models. By comparing cross-sections, and taking into account the nature of the types of production, they justify a particular temporal sequence for when the previously undated busts were produced.

An on going project [YY14] acquired a collection of several busts sculpted by Louis-François Roubiliac portraying Alexander Pope; a triangulation laser scanner has been used to generate the high resolution 3D digital models. The purpose of the project is a *many-to-many* combinatorial comparison of the busts' shapes by using geometrical analysis tools, in order to provide scholars with a more quantitative information about the creative process of 18th century sculptors. In this case, *collection analysis* performed on this data set will overlap with the CH application of *interpretation*. In other words, *collection analysis* will help to shed light on hypotheses

about the order in which those busts were created, and about the 'relative roles the busts have played in Roubiliac's praxis' [YY14].

### 6.4. Discussion

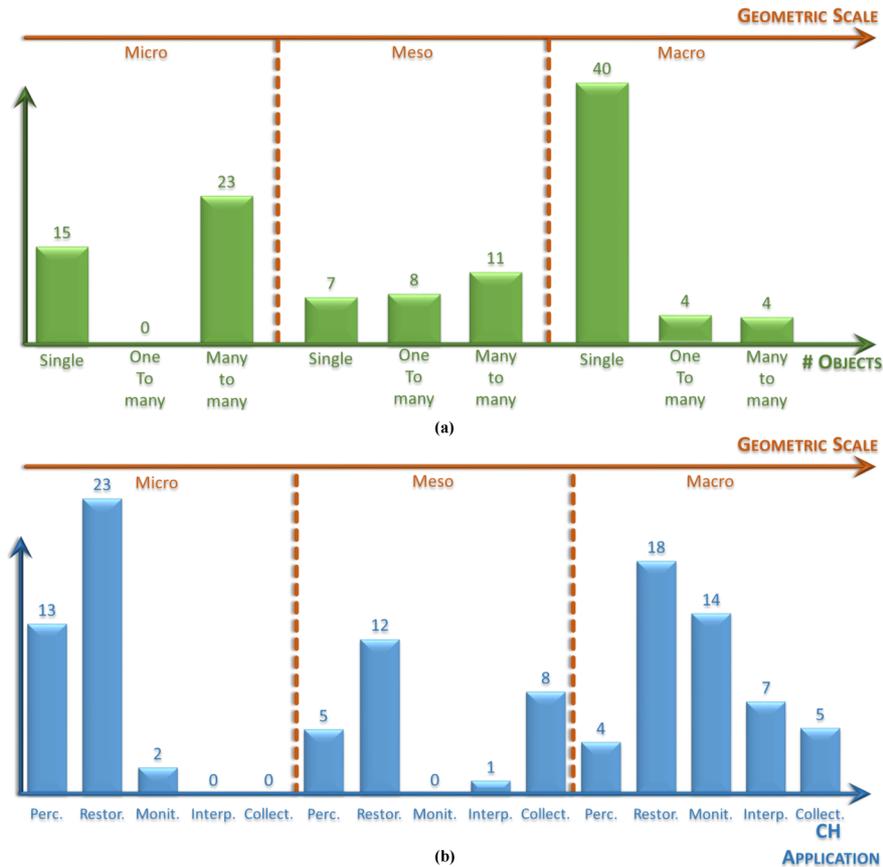
In Figure 28, we present the distribution of the paper in the # Objects and CH Application axes. We can see how all CH applications benefit from an analysis at a macro level. Unlike *micro-* or *meso-* approaches, since global shape, preservation condition and nature of ancient artefacts have a high variability at macro scale, even in the same category, most projects deal just with a single object. Moreover, sometimes they present analyses tailored to a particular CH test case. The studied items range from small coins to buildings, and various types of 3D acquisitions are employed, including close-range laser scanning, photogrammetry, time-of-flight scanning and computational tomography.

Few works present results where many 3D models are compared to one another. In the *restoration* pipeline geometrical information of a set of object is used to digitally restore damaged or missing parts. Through time, the diversity of data acquired (images, 3D, etc.) are used in a one-to-many framework for *monitoring* purposes. As expected, *data collection* benefits from the acquisition, characterization and retrieval algorithms applied to sets of CH items, such as statues organized in big databases.

### 7. Multi-Scale Techniques

In order to classify each paper, our taxonomy takes into consideration the predominant geometric scale at which the study is performed. However, many works are a weighted combination of two or more levels of analysis. In this section we provide the reader with few but most relevant examples of these techniques, coming from all *micro-*, *meso-* and *macro-scale* categories. They show how CH applications highly benefit from the design of hybrid algorithms.

In general, *restoration* by re-assembling fragments is based on the computation of *micro-scale* features, or on *meso-scale*



**Figure 29:** Geometric Scale vs # Objects and CH Application. We show the distribution of works in the # Objects and CH Application axes when moving from micro- to macro-scale classes.

assumptions about the local geometrical behaviour. Nevertheless, since the ultimate goal is to reconstruct the final, entire work of art, they need to drive the matching of the parts from a global point of view as well (e.g. [HFG\*06] in Section 4). At the end of the pipeline, each single match should be globally consistent with all the others. This aspect is mostly related to the idea of the object as a whole, which is the main characteristic of *macro-scale* methods. Another representative multi-scale method comes from the *meso-scale* category, *restoration* class [PMW\*09]. In that work, authors repair damaged, old photos by employing analysis at all geometric levels. First, they extract a geometry based signal of the photo surface by computing pseudo-normals (i.e. normal along one axis only) from an adapted PS technique. While the pseudo-normals are a *micro-scale* primitive attributes (here the primitives are image pixels), they are used for the *meso-scale* analysis task of extracting damaged large sub-regions. Finally, in order to repair those regions, they employ a statistical image infilling, which considers the global behaviour of colour signal in the image, that is, a *macro-scale* analysis. While the main contribution of the paper is the damaged pixel retrieval (*meso*), the final result would not be possible without a processing at *micro* and *macro* level. The last example is about *collection analysis* performed at *macro-scale*. Lu et al. [LZZ\*13] built a framework for the characterization and the comparison of entire models of portrait sculptures. Although their distance tensor is a

*macro-scale* representation of the relative distances between each portrait with all the others, they use non-rigid alignment pipeline and distance metrics based on correspondences at *micro* level. Further, the final classification result has been undertaken by focussing only to one sub-region (*meso-scale*) of the portraits, that is, their forehead hair.

Although it is useful to organize the literature by defining clear taxonomy axes and categories, very few papers are focused only on a specific geometric scale; Computer Graphics researchers should rely on this factor when designing new algorithms, frameworks or process pipelines, in order to properly balance the amount of different levels of analysis.

## 8. Conclusions and Future Work

For each individual class *micro-*, *meso-* or *macro-scale*, we have shown the distribution of the papers along the # Objects and CH Application axes. Here, we analyse how those distributions vary when we move along the Geometric Scale direction (Figure 29). In Figure 29(a) we depict how the distribution of papers in the # Objects axis varies as we move from *micro-* to *macro-scale* in the Geometric Scale taxonomy. Since at small scales geometrical



**Figure 30:** Clusters of papers and lacks in the literature. We identify three main clusters in the available literature: (a) Cluster #1 is related to restoration (blue dots) and Cluster #2 is the set of all CH applications focused on single object analysis (orange dots); (b) A smaller cluster (Cluster #3) is the group of works related to databases and collection analysis (green dots). The other un-clustered papers are marked as gray dots. From this table, we can see some gaps in the literature: some empty regions in the taxonomy are possibly related to ill-posed problems, for example, orange empty cells. Conversely, although a lot of large sets of 3D models exist, a small number of contributions deal with their analysis and study as a collection of similar artworks (red background cells).

features are 'more similar', it is possible to perform comparisons between a large number of different objects, such as 'very-variable-shape' fragments of the same broken work of art; thus, a lot of contributions belong to the *many-to-many* class in the *micro-scale* analysis. On the other hand, the bigger the size of the studied area, the more peculiar and unique is its geometry, and the majority of methods dealing with the overall shape *macro-scale* tend to focus on the analysis of a single artefact. As a result, the meso-scale techniques equally cover all the groups, while, as we move from *micro* to *macro*, the density of the papers goes from *many-to-many* to *single*. A similar correlation is visible between *Geometric Scale* and CH Application as well (Figure 29b). While *macro-scale* covers all kind of CH applications due to the high diversity of objects, *micro*- and *meso*- approaches study objects at a scale where they tend to look similar, so only few particular applications benefit from this effect. The two big clusters of methods in *micro-scale* analysis are *restoration* and *perception*. The former is mostly related to the task of re-assembling fragmented objects, while the latter deals with the computation of *micro-scale* signal to improve detail visibility. Apart from one single method in the *monitoring*, in general, no other CH applications are covered. The majority of the papers in the *meso-scale* are adaptations of *micro-scale* approaches in the case of an *a priori* knowledge of the object type. Those are in the *restoration* and *perception* classes. The analysis at a bigger scale than *micro*, which takes into account sub-regions of an artwork, provides a tool to compare similar objects with one another. For this reason, the *meso-scale* techniques well cover the *collection analysis* class; all these papers are related to the *one-to-many* category in the *# Objects* axis. Another way to depict the distribution of the papers w.r.t. all the three axes is used in Table 1, which highlights and improves the understanding on how strong is the correlation in the literature be-

tween the scale of the analysis and the number of samples involved. We re-organized this table in Figure 30, in order to study a possible cross-grouping of those papers from different categories. We can immediately identify two big clusters. The Cluster #1 is related in general to restoration. The core of this cluster (blue dots) consists in all the *micro* and *many-to-many* techniques; we can extend this set with all the *meso* and *many-to-many* methods, which have the same theoretical background, but use more information from the object type. Conversely, the second cluster (Cluster #2) is the wide spectrum of CH applications focused on the *single object* analysis (orange dots). At *macro-scale* level we can recognize the core of this set, but we can include the approaches in the *micro-scale* and *perception* categories; those increase the micro detail readability, but the ultimate goal is to allow scholars to read and better perceive the entire object as a whole. We identify another small cluster (Cluster #3) as the group of works related to databases, that is, classification, indexing and query CH items by geometric analysis and processing (green dots). Of course they rely on object types, so we found no paper in the *micro-scale* category. They are related to *meso*- or *macro-scale*, and to *collection analysis*. The un-clustered contributions are marked as gray dots. From another point of view, these three groups help us to identify empty regions in the taxonomy, regions where the available literature lacks. Some of those regions are related to maybe ill-posed problems (e.g. orange empty cells). However, although in recent years a lot of effort has been undertaken to acquire large collections of objects [BGMP13, BJM\*14, YY14], we can say that only a very small number of works deal with the analysis of set of artworks (red background cells). This lack of comparative studies is the most important element that arises from our survey to give a direction for future CG analyses applied to CH.

Another important element in the presented literature is the distribution of works over the time. Most papers that perform geometrical analysis were published in the last five years. This is due to the fact that 3D acquisition procedures and instruments are very mature now, and they are available at relatively low cost compared with a decade ago. These capture pipelines are faster and easier to operate, and the size, accuracy and resolution of digital models are increasing day by day. Micro and meso analyses benefit from the high accuracy and resolution of 3D models, which are acquired with a sub-millimetre precision, while macro-scale techniques exploit the capabilities of fast laser scanner and photogrammetry to easily acquire objects ranging from small items to huge archaeological sites or buildings. Moreover, computational time has been greatly reduced in the last decade due to a great improvement of the available low cost hardware and the development of efficient parallel algorithms.

Future work will focus on two branches of the presented literature, that is, the algorithmic and computational improvement of the analysis techniques, and the design of tools helping scholars to take the highest advantages from those approaches for their own research. *Perception enhancement* methods will study a compressed representation of extracted surface details, in order to allow fast access; for instance, combining stylistic analysis and sketch-based outputs will allow scholars to avoid manipulating high resolution 3D models and will support fast interpretation tasks. Although geometry reassembling for *restoration* was studied in many different practical test cases, it remains a challenging topic with open problems. For example, it would be interesting to study different materials and causes of failure, which produce different classes of fragments in terms of the corresponding fracture pattern. Another interesting topic would be to incorporate observed statistics of fractures and matches in a machine learning framework; classifiers could learn from previously assembled pieces or objects, and they could be applied to new artefacts. The quality of 3D models for *monitoring* is another issue when the acquisition time is a critical element, such as for archaeological sites during excavation activities. Possible future improvements in this field are related to the output data from Structure from Motion (SfM) algorithm in terms of geometry and colour. Nowadays SfM pipelines and photo blending techniques are evolving fast to allow high resolution results comparable to models from 3D laser scanning, and it is important to investigate which of them are more suitable for CH purposes. The use of these procedures within an CH scenario poses also the problem of defining a formal guideline for archeologists; from their testing and feedback, some relevant issues must be adapted in the acquisition pipelines and in the visualization tools, such as the placement of markers, photographic campaign strategies, the processing and the integration of the data and annotation capabilities. A similar challenge is the design of a tool to assist researchers during the *interpretation* task; apart from specific studies, a generally defined framework is required to validate hypotheses or to solve debates by using objective analyses based on geometric measurements. All of these are open problems that require a lot of interaction between scholars in CH and computer scientists. As the number of 3D models hosted in digital libraries is increasing, there are increasing research opportunities to test and improve classification and browsing techniques for *collection analysis*. New databases and new mathematical representations will advance the state-of-the-art of this field, by allowing scholars to remotely perform more efficient and fast search. Finally, future work may populate some of the areas of the taxonomy we

have presented that are currently empty. In addition, if we acquire the geometry of a model at very small absolute scale, the behaviour of the fine scale shape will affect the appearance of the object itself. For this reason, some approaches that analyse the material appearance might be strongly related to the geometrical signal, and they might be included in the taxonomy within the *micro-scale* analysis class or in a new separate category.

### Acknowledgements

This work was partially supported by the Digitally Enabled Scholarship with Medieval Manuscripts (DESMM) project funded by the Mellon Foundation ([ydc2.yale.edu/](http://ydc2.yale.edu/)). This work was also supported by the UCL EngD VEIV Centre for Doctoral Training and by London Metropolitan Archives. We also acknowledge the contribution of Sardinian Regional authorities and the support for H. Rushmeier from US National Science Foundation grant IIS-1302267.

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